Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)		
Applicant/Patent Owner: <u>Jeffery S. Bradley</u>		
Application No./Patent No.: 10/568982 Filed/Issue Date: 02/21/2006		
Entitled: Self Limiting Catalyst Composition with Dicarboxylic Acid Ester Internal Donor and Propylene Polymerization Process		
<u>Dow Global Technologies Inc.</u> , a <u>corporation.</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)		
states that it is: 1. ✓ the assignee of the entire right, title, and interest; or		
2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is %)		
in the patent application/patent identified above by virtue of either:		
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.		
OR  B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:		
1. From: <u>Jeffery S. Bradley and Michael P. Zummal<b>l∢∩</b> To: <u>Dow Global Technologies Inc.</u>  The document was recorded in the United States Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.</u>		
From: Linfeng Chen and William G. Sheard To: Union Carbide Chemicals & Plastics Technology Corp.		
The document was recorded in the United States Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.		
3. From: Union Carbide Chemicals & Plastics Technologies Inc.		
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.		
Additional documents in the chain of title are listed on a supplemental sheet.		
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.		
[NOTE: A separate copy ( <i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. <u>See MPEP 302.08</u> ]		
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.		
- Nary Nilotenber 27 January 2008		
Signature Date		
Gary R. Plotecher 414-273-2100		
Printed or Typed Name Telephone Number		
Attorney Title		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FORM 110 (R 5/97)

This Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/Dat

Docket Ref. 63036 css

#### INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (WITH PRIORITY RIGHTS)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled:

#### CATALYST COMPOSITION WITH MONOCARBOXYLIC ACID ESTER INTERNAL DONOR AND PROPYLENE POLYMERIZATION PROCESS

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we, by virtue of my/our employee agreement(s) and contractual agreement(s) between our employer(s) and Dow Global Technologies Inc., hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for Dow Global Technologies Inc. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work from my/our employer, I/we sell, assign and

> DOW GLOBAL TECHNOLOGIES INC. **Washington Street** 1790 Building Midland, Michigan 48674 United States of America

a corporation organized and existing under the laws of the State of DELAWARE, U.S.A. (hereinafter referred to as the "Assignee");

my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the 60/505,312Application No. Filing Date September 23, 2003

in country the United States of America of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request; and I/we do hereby authorize Assignee or its designee (or nominee(s)) to insert here any corresponding equivalent Application No. Filing Date in country to the above Priority application of any country where that country's patent property must be identified and entered hereinabove for recordal of this Assignment. I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given. Subscribed and Declared by me/us:

At: Midland, Michigan 48674 USA this 24 day of October , 2003  Effective as of September 23, 2003  Signature: Jan Jost Bradley Residence: 5018 Goldenwood Brive Country: United States of America Citizenship: United States of America P. O. Address: Same as Residence Employed By: Of Country: United States of America United States of America United States of America  The Dow Chemical Company United States of America	this 9 day of OCTOBER, 2003  Effective as of September 23, 2003  Signature: Michael P. Zummatten Residence: 1902 Rosewood Lane
At: day of, 20	At: this day of
Signature:Full Name: Residence:	Signature: Full Name: Residence:
Country: Citizenship: P. O. Address: Employed By: Of Country:	Country: Citizenship: P. O. Address: Employed By: Of Country:

**FORM 221** 

Docket Ref. 63036 css

# NOTARIAL CERTIFICATE (INDIVIDUAL)

UNITED STATES OF AMERICA ) STATE OF MICHIGAN ) SS County/Parish of Midland )	S
On this 24 day of Octobefore me,	yer, 2003, personally appeared
	ffery S. Bradley
known or identified to me to be the ind foregoing document and acknowledged purposes therein expressed.	ividual described in and who executed the I the same as a free act and deed for uses and
SEAL	Carla I Samuel Notary Public
NOTARIAL CI	Carla S. Samuel  Notary Public, Bay County, Michigan Acting in Midland County, Michigan  ERTIFICATE MEMORIAN Expires October 10, 2005
UNITED STATES OF AMERICA ) STATE OF TEXAS ) SS County/Parish of )	
On this <u>26</u> day of <u>Septer</u> before me,	n BCL 20 <u>03</u> , personally appeared
	Linfeng Chen
known or identified to me to be the indiv foregoing document and acknowledged purposes therein expressed.	ridual described in and who executed the the same as a free act and deed for uses and
1,5000000000000000000000000000000000000	Messessia (Messessia)



Notary Public Repu

This Assignce Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number. **FORM 660** 

## ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE:

DOW GLOBAL TECHNOLOGIES INC.

**Washington Street** 1790 Building

Midland, Michigan 48674

UNITED STATES OF AMERICA

hereby accept the ASSIGNMENT attached hereto which is dated: October 24, 2003 and October 9, 2003

From, the ASSIGNOR: Jeffery S. Bradley, 5018 Goldenwood Drive, Midland, Michigan 48640, United States of America and Michael Philip Zum Mallen, 1902 Rosewood Lane, Sugar Land, Texas 77479, United States of

of the invention entitled:

CATALYST COMPOSITION WITH MONOCARBOXYLIC ACID ESTER INTERNAL DONOR AND PROPYLENE POLYMERIZATION PROCESS

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, USA this	9 day of	Quanxt	20 CXL
		- myurc	20 <u>CC</u>

DOW GLOBAL TECHNOLOGIES INC.

CORP. SEAL

Secretary

# NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA STATE OF MICHIGAN County/Parish of Midland	) ) SS
On this day of	2004, personally appeared before me, NOREEN D.

known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said Corporation organized and existing under the laws of the State of Delaware, United States of America. I further certify that said authority, dated February 11, 2002, is based on

## an Authorization Policy of the said Corporation

which I have seen, appointing the said individual Attorney-in-Fact with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

SEAL

Ann Heritier Notary Public, Bay County, Michigan Acting in Midland County My Commission Expires December 03, 2006 FORM 110 (R 5/97)

Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/Date

Docket Ref. 63036 css page 2

### INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (WITH PRIORITY RIGHTS)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled:

CATALYST COMPOSITION WITH MONOCARBOXYLIC ACID ESTER INTERNAL DONOR AND PROPYLENE POLYMERIZATION PROCESS

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we, by virtue of my/our employee agreement(s) and contractual agreement(s) between our employer(s) and Union Carbide Chemicals & Plastics Technology Corporation, hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for Union Carbide Chemicals & Plastics Technology Corporation. As trustee(s), and for good and valuable consideration and/or the remuneration

received for my/our work from my/our employer, I/we sell, assign and transfer unto: UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION 39 Old Ridgebury Road Danbury, Connecticut 06817-0001 **United States of America** a corporation organized and existing under the laws of the State of DELAWARE, U.S.A. (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Application No. <u>60/505,312</u> Filing Date September 23, 2003 in country the United States of America of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request; and I/we do hereby authorize Assignee or its designee (or nominee(s)) to insert here any corresponding equivalent Application No. Filing Date in country to the above Priority application of any country where that country's patent property must be identified and entered hereinabove for recordal of this Assignment. I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given. Subscribed and Declared by me/us: At: Texas USA ouston Texas USA this 26thday of September 20 03 this & day of October 2003 Effective as of September 23, 2003 Effective as of September 23, 2003 Signature: Signature: Linfeng Chem Full Name: Full Name: William G. Sheard Residence: 11706 Pedernales Falls Lane Residence: 12907 Dove Oaks Court Sugar Land, Texas 77478 Houston, Texas 77041 Country: United States of America Country: **United States of America** Citizenship: United States of America Citizenship: **United States of America** P. O. Address: Same as Residence P. O. Address: Same as Residence Employed By: **Union Carbide Corporation** Employed By: Union Carbide Corporation Of Country: **United States of America** Of Country: United States of America

-	
At:	
——————————————————————————————————————	
this day of	, 20
Signature:	
Full Name:	
Residence:	
Country:	
Citizenship:	
P. O. Address:	
Employed By:	
Of Country:	

At:	
this day of	, 20
Signature:	
Full Name:	
Residence:	
Country:	
Citizenship:	
P. O. Address:	
Employed By:	
Of County	

This Assignment 221 is in the ENGLISH language and has the same meaning in other languages with the same 221 number and R/Date

**FORM 221** 

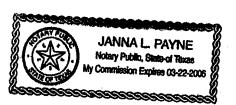
Docket Ref. 63036 css

# NOTARIAL CERTIFICATE (INDIVIDUAL)

UNITED STATES OF AMERICA ) STATE OF TEXAS ) SS County/Parish of HArris )		
On this day of October, , 2003, personally appeared before me,		
William G. Sheard		
known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed.		
SEAL  JANNA L. PAYNE Notary Public, State of Taxas My Commission Expires 03-22-2006  Notary Public		
NOTARIAL CERTIFICATE (INDIVIDUAL)		
UNITED STATES OF AMERICA ) STATE OF TEXAS ) SS County/Parish of Harris )		
On this 9 day of OCTOBER 2003, personally appeared personally appeared		
Michael P. Zummallen		

known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed.

**SEAL** 



This Assignment 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number. **FORM 440** 

## **ASSIGNMENT**

(with Priority Rights) and

### INVENTORSHIP DECLARATION

We, ASSIGNOR, of: UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION

39 Old Ridgebury Road

Danbury, Connecticut 06817-0001 UNITED STATES OF AMERICA

a corporation organized and existing under the laws of the State of Delaware, United States of America do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):

Linfeng Chen 11706 Pedernales Falls lane, Sugar Land, Texas 77478, United States of America and William G. Sheard, 12907 Dove Oaks Court, Houston, Texas 77041, United States of America

of an invention relating to and entitled:

#### CATALYST COMPOSITION WITH MONOCARBOXYLIC ACID ESTER INTERNAL DONOR AND PROPYLENE POLYMERIZATION PROCESS

We do hereby declare, for good and valuable consideration assign, and transfer unto:

received, sell,

WE, the ASSIGNEE:

SEAL.

DOW GLOBAL TECHNOLOGIES INC.

**Washington Street** 1790 Building

Midland, Michigan 48674

UNITED STATES OF AMERICA

a corporation organized and existing under the laws of the State of Delaware, United States of America our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all International Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Priority Number $60/505$ , $312$ and Filing Date September 23, 2003
of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insert here the Corresponding Application No and Filing Date
of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.
Subscribed and Sworn by us at Midland, Michigan 48674, USA
this <u>28</u> day of <u>October</u> , 20 <u>03</u>
UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION
CORP.

CHARLES J. MAUKER III

Secretary

Attach Notarial Certificate (Corporation)

This Notarial Certificate 550 is in the ENGLISH language and has the same meaning in other languages with the same 550 number.

# NOTARIAL CERTIFICATE (Corporation)

				- /
UNITED STATES STATE OF MICHI County/Parish of M	GAN	) SS )		
known or identified to mand acknowledged the sa	ne to be the individance as a free act a	dual described and deed for u	l in and who ex	, personally appeared ecuted the foregoing document es therein expressed by authority aware, United States of
further certify that said	authority, dated S	September 17	, 2001, is based	on
n Authorization Policy				
which I have seen, appoint or egoing document on be transfed are within the scale.	penali of the said c	corporation an	d that the nurn	sees for which said 4.
SEAL		ann'	Hutter tary Public Ann Heritie	

Notary Public, Bay County, Michigan Acting in Midland County My Commission Expires December 03, 2006

This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number. FORM 660

# ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE:

DOW GLOBAL TECHNOLOGIES INC.

**Washington Street** 1790 Building

Midland, Michigan 48674

UNITED STATES OF AMERICA

From, the ASSIGNOR:

UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION

39 Old Ridgebury Road

Danbury, Connecticut 06817-0001 UNITED STATES OF AMERICA

of the invention entitled:

#### CATALYST COMPOSITION WITH MONOCARBOXYLIC ACID ESTER INTERNAL DONOR AND PROPYLENE POLYMERIZATION PROCESS

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at	Midland, Michigan 48674, USA
this <u>29</u>	day of October, 2003
CORF	DOW GLOBAL TECHNOLOGIES INC.
SEAL	By: This Dall
N.	PHILIP D. SHEPHERD

President

NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA )	•
STATE OF MICHIGAN ) SS	
County/Parish of Midland	
On this 29 day of October 2003	g, personally appeared
before me, PHILIP D. SHEPHERD, President,	
known or identified to me to be the individual described in and who	executed the foregoing down

and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said corporation incorporated under the laws of the State of Delaware, United States of America.

I further certify that said authority, dated February 11, 2002, is based on

an Authorization Policy of the said Corporation,

which I have seen, appointing the said individual Attorney-in-fact with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

**SEAL** 

Notary Public

Ann Heritier Notary Public, Bay County, Michigan